



JENSON

SEIS AND EIS FUND

2018 / 2019 TRANCHE

INVESTOR APPLICATION FORM

# INVESTOR APPLICATION FORM

## IMPORTANT NOTICE

This Application Form incorporates by reference the Information Memorandum issued and approved by Foresight Group LLP in connection with the Jenson SEIS & EIS Fund (the 'Fund'), a combined EIS and SEIS fund managed by Thompson Taraz Managers Limited (the 'Fund Manager' or 'Thompson Taraz') and all other documents published which are expressed to be supplemental thereto (the 'Information Memorandum'). Jenson Funding Partners LLP ('Jenson') is the strategic adviser to the Fund Manager in connection with the Fund. Unless otherwise stated or as the context shall otherwise require, defined terms and expressions used in this Application Form have the meanings ascribed to them in the Information Memorandum.

***This Application Form does not constitute an offer by the Fund Manager or another person for you to enter an agreement with the Fund Manager to act as your discretionary investment manager or an invitation for you to make an offer to the Fund Manager or another person for the Fund Manager to enter into an agreement with you to act as your discretionary investment manager unless the Fund Manager is able to categorise you as someone who has the necessary experience, expertise and knowledge to be capable of making their own investment decisions and understanding the risks of investing in the Fund.***

## BEFORE YOU INVEST

***Before completing this Application Form, you should carefully review and understand the Information Memorandum and the Investor's Agreement. Remember that the proposal is NOT suitable for everyone, the information in the Information Memorandum does NOT constitute tax, legal or investment advice and you should seek your own independent advice.***

## WHO CAN APPLY?

You can apply for an investment in the Fund if:

- the Fund Manager is satisfied that you can be categorised as a person who is capable of making his or her own investment decisions and understanding the risks involved by participating in the Fund based on your expertise, knowledge and experience of investing in early stage companies ('Professional Investor');
- you have received a clear written warning of the protections and investor compensation rights you may lose by not being treated as a retail client of the Fund Manager for regulatory purposes; and
- you have stated in writing, by signing this Application Form, that you are aware of the consequences of losing such protections.

To do this, you can be assessed as a Professional Investor by your Financial Intermediary completing the **Financial Intermediary & AML Certificate** in the Application Pack. This does not mean that your Financial Intermediary needs to treat you as an elective professional client if he or she has categorised you as a retail client in relation to the services and/or advice which he or she provides to you.

Alternatively, if you do not have a Financial Intermediary you should complete the **Investor & Anti-Money Laundering Certificate**.

## MINIMUM INVESTMENT

The minimum investment is £10,000 and thereafter in multiples of £1,000.

# INSTRUCTIONS FOR COMPLETING THE INVESTOR APPLICATION FORM

Before completing this Application Form, please carefully review the Information Memorandum, and the Investor's Agreement.

Then follow the steps listed 1 – 7 below.

1. Please complete the **Application Form** in type or use block capitals (save for your signature) in black/blue permanent ink and sign any changes you make. Do not erase any text or use whiteout. Please arrange for a witness to counter sign the Application Form.
2. Read the acknowledgements and representations in the Application Form. If you have any queries, please contact Jenson on 020 7788 7539 or Thompson Taraz on 020 7907 8789 with reference Jenson SEIS and EIS Fund.
3. Arrange for a Financial Intermediary, authorised by the Financial Conduct Authority, to complete and sign a Financial Intermediary & Anti-Money Laundering (AML) Certificate. Alternatively, please complete and sign the Investor & Anti-Money Laundering Certificate. If you wish to subscribe more than €15,000 you will need to complete the anti-money laundering due diligence included in this pack, even if you have applied through a Financial Intermediary who has completed the Financial Intermediary & AML Certificate.
4. Where you have applied through a Financial Intermediary, please arrange for such Financial Intermediary to complete the form on page 7 confirming how they categorise you under the COBS rules for their provision of services to you and the form on page 8 in respect of any fees, commission or rebate payable to such Financial Intermediary.
5. Execute and date this Application Form.

6. Deliver or send the:

- Application Form (completed, executed and dated);
- Financial Intermediary & AML Certificate (or Investor & AML Certificate if you don't have a Financial Intermediary);
- Your cheque or banker's draft made payable to 'TTML Client A/C Re: Jenson SEIS & EIS' for the amount you wish to invest to the Fund Manager at the following address:

Jake Landaw  
Thompson Taraz Managers Limited  
(Re Jenson SEIS & EIS)  
47 Park Lane  
Mayfair  
London  
W1K 1PR

Alternatively, investor contributions can be sent by electronic transfer using the details below:

- TTML Client A/C Re: Jenson SEIS & EIS, Sort code 40-05-27, account No. 93665186.
  - Please place the applicant's name in the reference.
7. Keep a copy of the completed Application Form for your records.

The Fund Manager may decide, in its absolute discretion, to accept or reject the Application Form and will notify you of its decision.

If you do not receive an acknowledgement of your application within 10 days of sending it to the address above, please contact Thompson Taraz on 020 7907 8789 or Jenson on 020 7788 7539 with the reference Jenson SEIS and EIS Fund.

# INVESTOR APPLICATION FORM

(Please read the risk warnings on pages 10 to 14 of the Information Memorandum before completing this Application Form.)

<b>1</b>	<b>Personal Details</b>	
Title: Mr/Ms/Mrs/Miss/Dr/Other		
Forename(s):		Surname(s):
Nationality:		National Insurance no:
Permanent Address:		
Postcode:		Email:
If length of occupation is not more than 3 years then please provide your previous residential address:		
Telephone (Work):		Telephone (Home or Mobile):
Date of birth: (DD/MM/YYYY)		Town and country of birth:
Tax district:		Tax reference number:
<b>2</b>	<b>Application to Invest in Jenson SEIS and EIS Fund</b>	
I hereby apply to invest in the Jenson SEIS and EIS Fund the following amount (cheques are payable to <b>TTML Client A/C Re: Jenson SEIS &amp; EIS</b> )		
£ (words)		£ (figures)
SEIS Allocation (£)		EIS Allocation (£)
Please indicate if you are agreeable to having fewer than ten companies in your Portfolio if required (may not be applicable to all investors, to be discussed with Jenson if applicable).		<input type="checkbox"/>
Please indicate in which tax year(s) you are planning to claim relief (please select all that apply).		2017/2018 <input type="checkbox"/> 2018/2019 <input type="checkbox"/>
<b>3</b>	<b>Facilitation of Fees (in respect of Investors treated by their Financial Intermediaries as retail clients under COBS 3.4)</b>	
I hereby confirm that I wish to have the following payment of initial agreed fees to my Financial Intermediary facilitated by Jenson:		% (per cent)
£ (words)		£ (figures)
<b>4</b>	<b>Acknowledgements and Representations</b>	
<b>a</b>	I confirm that I am 18 years of age or older, and personally possess sufficient knowledge, experience and expertise in financial and business matters (including experience with investments of a similar nature to an investment in the Fund) to be capable of evaluating the merits and risks of an investment in the Fund.	
<b>b</b>	I confirm that I have read and understood the Information Memorandum (and in particular the section headed Risk Factors on pages 10 to 14) and the Investor's Agreement. I confirm that, in making my application, I am relying solely on the information contained in the Information Memorandum and the Investor's Agreement, the Financial Intermediary & AML Certificate, or, as the case may be, the Investor & AML Certificate and my own enquiries, and not on any information or representation in relation to the proposal.	

c	I agree and undertake, if my application is accepted, to observe, perform and be bound by the provisions of the Investor's Agreement.	
d	I confirm that I wish to be treated as a person who is capable of making their own investment decisions and understanding the risks of investing in the Fund and that I am aware that I am not a client of the Fund Manager and that I am aware of the consequences of losing the protection and investor compensation rights that I lose by participating in the Fund on this basis. I confirm that I have read and understood the Important Notice in respect of my Professional Investor categorisation in the Schedule on page 12 of this pack.	
e	I acknowledge that this Application Form is not transferable or assignable.	
f	I confirm my agreement that this Application Form be governed by and construed in accordance with the laws of England and that the courts of England shall have exclusive jurisdiction to hear and determine any suit, action or proceedings and to settle any disputes which may arise out of or in connection with this Application Form and, for such purposes, I irrevocably submit to the jurisdiction of such courts. In addition, I irrevocably waive any objection which I might now or hereafter have to the courts of England being nominated as the forum to hear and determine any such suit, action or proceedings and to settle any such disputes, and agree not to claim that any such court is not a convenient or appropriate forum.	
g	I agree that the foregoing representations, warranties, agreements and acknowledgments shall survive the date of my admission to the Fund and this Application Form shall be binding upon and inure to the benefit of all parties and their successors and permitted assignees.	
h	I agree that any term or provision of this Application Form which is invalid or unenforceable in any jurisdiction shall, as to such jurisdiction, be ineffective to the extent of such invalidity or unenforceability without rendering invalid or unenforceable the remaining terms or provisions of this Application Form or affecting the validity or enforceability of any of the terms or provisions of this Application Form in any other jurisdiction.	
i	I undertake to notify the Fund Manager immediately if there are ever any relevant circumstances of which the Fund Manager should be aware in relation to managing my Portfolio(s).	
j	<p>I confirm, in relation to my investment in the Fund, that:</p> <ul style="list-style-type: none"> <li>• I wish to seek SEIS and/or EIS Relief;</li> <li>• I am applying on my own behalf;</li> <li>• I will notify the Fund Manager of any investment with which I am connected within section 163 and sections 166 to 177 of the Income Tax Act 2007 or part 5A of the Income Tax Act 2007; and</li> <li>• I will notify the Fund Manager if, within three years of the date of issue of shares by an EIS Qualifying Company or SEIS Qualifying Company, I become connected with that SEIS Qualifying Company or EIS Qualifying Company or receive value from it.</li> </ul>	
k	If I have completed the box at part 3 of this Application Form, I am declaring and validating to the Fund Manager the amount of the agreed fees specified therein and I am instructing Jenson to make or authorise (as applicable) payment of such adviser fee to my Financial Intermediary.	
l	<p>Please tick here if you wish to receive paper statements at a price of £3.50 per statement. Otherwise, the Fund Manager will provide statements in electronic form at no cost. Please note that if you have not provided an email address overleaf, the Fund Manager will provide paper statements at £3.50 per statement even if you have not ticked here.</p> <p style="text-align: right;"><input type="checkbox"/></p>	
	This Application Form is executed and delivered as a deed on the date mentioned below:	
	Investor Signature	Print name:
	Witness Signature (Not to be a family member):	Print witness name and address:
	Date:	by completing and signing this application form, you confirm that your identity may be verified by electronic means such as the use of data held by specialised data agencies.

**Share prices, their values and income may go up or down and investors may lose some or all of their original investment. Past performance and return illustrations should not be used as an indicator of future performance**

# FINANCIAL INTERMEDIARY & ANTI-MONEY LAUNDERING CERTIFICATE

TO BE COMPLETED BY THE INVESTOR'S FINANCIAL INTERMEDIARY (if you wish to apply without input from a Financial Intermediary, please complete the form on page 9).

<b>1</b>	<p>We certify to Thompson Taraz Managers Limited in the terms of paragraphs 1 and 2 below:</p> <p><b>Professional Categorisation</b></p> <p>We have undertaken an adequate assessment of</p> <p>.....(the 'Investor') and his or her expertise, experience and knowledge such as to give reasonable assurance to the Fund Manager in light of the nature of the transactions or services envisaged that the Investor is capable of making his or her own investment decisions and understanding the risks involved in a participation in the Jenson SEIS and EIS Fund and of being categorised for this purpose by the Fund Manager as someone who has the requisite expertise, experience and knowledge to be capable of making their own investment decisions and understanding the risks of investing in the Fund. We have given to the Investor a clear written warning of the protections and investor compensation rights the Investor may lose in not being treated as a retail client of the Fund Manager in the form set out in the schedule on page 12 of this pack.</p>
<b>2</b>	<p><b>Anti-Money Laundering Due Diligence</b></p> <p>We have applied customer due diligence measures on a risk-sensitive basis in respect of the Investor to the standard required by the Money Laundering Regulations 2007 within the guidance for the UK financial sector issued by the Joint Money Laundering Steering Group and we certify that we have: (a) obtained information on the purpose and intended nature of the Investor's proposed investment in the Jenson SEIS and EIS Fund and we are satisfied that this investment is being made for bona fide legitimate purposes and not to conceal the proceeds of crime; and (b) identified and verified the identity of the Investor on the basis of documents, data and information obtained from a reliable and independent source.</p>
<p><b>We consent to Thompson Taraz Managers Limited relying on this certificate</b></p>	
<p>Firm name:</p>	
<p>Contact name:</p>	
<p>Address:</p>	
<p>Postcode:</p>	<p>Email:</p>
<p>FCA registration number:</p>	
<p>Signature:</p>	<p>Date:</p>

# FINANCIAL INTERMEDIARY SERVICES

**TO BE COMPLETED BY THE INVESTOR'S FINANCIAL INTERMEDIARY (if you wish to apply without input from a Financial Intermediary, please complete the form on page 9)**

Notwithstanding our declaration that Thompson Taraz Mangers Limited may treat the Investor so named in the Application Form on page 4 as a Professional Investor for the purposes of their provision of discretionary fund management services pursuant to the Information Memorandum, we, the Financial Intermediary named below, confirm that in respect of the services we provide to such Investor, (s)he is treated as a:	
Professional Client:	YES/NO
Retail Client:	YES/NO
for the purposes of the FCA's COBS Rules.	
We have provided execution only services in relation to the Investor's Application.	YES/NO
<b>IF WE HAVE CLASSIFIED THE INVESTOR AS A PROFESSIONAL CLIENT BY SIGNING BELOW WE CONFIRM THAT WE ARE AN ARTICLE 3 MIFID EXEMPT FIRM</b>	
Firm name:	
Contact name:	
Address:	
Postcode:	Email:
FCA registration number:	
Signature:	Date:

# CONFIRMATION OF FEE ARRANGEMENTS

TO BE COMPLETED BY THE FINANCIAL INTERMEDIARY

Financial Intermediary Name	
Contact Name	
Contact Email Address	
Contact Telephone Number	
Client Name(s) (or attach schedule)	
Client Investment £ Amount	
Financial Intermediary Initial Fee %	
Financial Intermediary Initial Fee £ Amount	
Financial Intermediary Bank Sort Code	
Financial Intermediary Bank Account Number	
Financial Intermediary Payment Reference	
Client Rebate %	
Client Rebate £ Amount	
Client Account Bank Sort Code	
Client Account Bank Account Number	
Client Account Rebate Payment Reference	
Client Reinvestment % into the Fund	
Client Reinvestment £ Amount into the Fund	
Payment advice note required?	YES/NO
Invoice to be raised?	YES/NO
Invoice includes VAT?	YES/NO

Signed:	
Position:	
Date:	



# INVESTOR & ANTI-MONEY LAUNDERING CERTIFICATE

TO BE COMPLETED BY THE INVESTOR WITHOUT A FINANCIAL INTERMEDIARY

(if you wish to apply through a Financial Intermediary, please ask him or her to complete the forms on pages 6 to 8)

I certify to Foresight Group LLP that the answers given below are true, complete and accurate
<b>Professional Investor Categorisation</b>  In order for you..... [please insert your name] (the 'Investor') to participate in the Fund, Foresight Group LLP will undertake an assessment of your expertise, experience and knowledge so as to give us reasonable assurance, in light of the nature of investments in complying funds such as the Fund, that you are capable of making your own investment decisions and understanding the risks involved. This Investor & AML Certificate requests information to help us undertake this assessment. Additional information may be required. We will keep all information provided by you confidential. A clear written warning of the protections and investor compensation rights the Investor may lose in not being treated as a retail client is set out in the schedule hereto.  Please ensure that you have signed this form before returning it to: Thompson Taraz Managers Limited (re Jenson SEIS & EIS), 47 Park Lane, Mayfair, London W1K 1PR
<b>Investment Objectives</b> Please review the investment objectives of the Fund, set out in section 3.1 of the Information Memorandum and schedule 1 of the Investor's Agreement and tick the box below to confirm that you are aware of and understand these objectives and that they are consistent with your own personal financial objectives in respect of your potential investment in the Fund.
<b>Your Profession</b> Please state your current profession, or, if you are retired, your previous profession:
Please state your first language below if it is not English:

### Investment Experience

Your experience and understanding of various types of investments is a factor in determining whether you can be categorised as a person who has the requisite expertise, experience and knowledge to be capable of making their own investment decisions and recognising the risks in relation to the Jenson SEIS and EIS Fund for you. Please put a number in each box in the table over the page to indicate the number of years of personal experience you have had with particular types of shares.

Types of shares	Years of Experience
Large quoted companies	
Smaller quoted companies	
AIM-listed or unquoted companies	
SEIS, EIS or VCT investments	
Number of previous shareholdings	

### In what capacity have you had this experience? (please tick)

Shareholder	
Director	
Adviser/consultant/auditor	
Other, please specify	

Please list any current company directorships you hold/have held in the last five years

Current	Last five years

Have you been in a network or syndicate of business angels for at least six months prior to today's date? (please tick and, if applicable, provide details)

Yes	
No	
Details	

Other relevant details and/or experience that might be relevant to our assessment of you as a Professional Investor in relation to your prospective investment in the Jenson SEIS and EIS Fund, whose objective will be to invest in start-up and early stage companies. Have you had experience of such companies either directly or as an investor?

# ANTI-MONEY LAUNDERING DUE DILIGENCE SCHEDULE

<p><b>the Fund Manager is required under the Money Laundering Regulations 2007 to verify the identity of all investors in the Fund and therefore requires copies of (a) an identity document and (b) a proof of address document from you. Please supply a certified copy of one document from list 1 (proof of identity) and one document from list 2 (proof of address)</b></p>
<p>Identity document – please enclose a certified copy (see below for details of who can certify your documents) of one of the following documents and put a tick in the relevant box:</p>
<p>Current Passport or national identity card</p>
<p>Full driving licence (both parts)</p>
<p>Proof of address – please enclose a certified copy (see below for details of who can certify your documents) of one of the following documents and put a tick in the relevant box:</p>
<p>Recent bank statement (less than three months old)</p>
<p>Credit reference agency report</p>
<p>Utility bill (less than three months old)</p>
<p>Full driving licence (both parts) (if not used for proof of identity)</p>
<p><b>CERTIFIED COPIES</b></p>
<p>Copies should be certified by a regulated professional person such as a solicitor, bank manager, Financial Intermediary or accountant or, alternatively, by a person in a position of responsibility such as a teacher or doctor who is known to the applicant. The documents requiring certification should be identified on the back as follows: 'I certify that this is a true copy'. Please print the name, address, telephone number and capacity in which signed (e.g. doctor, company director) of the authorising person and ensure that the document is signed by them.</p>

<p><b>For Foresight Group LLP (internal use only)</b></p>
<p>Assessment Completed</p>
<p>Date</p>
<p>Assessment criteria met?</p>

# SCHEDULE – IMPORTANT NOTICE

## In respect of Professional Investor categorisation

The Information Memorandum relating to the Fund describes arrangements for the appointment of **Thompson Taraz Managers Limited** (the 'Fund Manager') as a discretionary investment manager to act on behalf of Investors who wish to make venture capital investments in SEIS Qualifying Companies and EIS Qualifying Companies and to manage those investments in common on behalf of all such Investors. These arrangements together constitute the Jenson SEIS and EIS Fund (the 'Fund'). The Fund Manager is authorised to act as a discretionary investment manager by the UK Financial Conduct Authority ('FCA') and its FCA registration number is 226978.

As venture capital investments can be higher risk, the Fund Manager will only act for individuals in connection with the Fund who, in accordance with FCA Rules, can be assessed as understanding the risks of investing in the Fund and who are capable of making their own investment decisions based on their experience, expertise and knowledge of EIS and SEIS investments ('Professional Investor'). The material risks of investing in the Fund are set out in section 1 of the Information Memorandum.

The Fund Manager will owe you, as the Investor, an obligation to ensure that the venture capital investments which it makes comply with the investment policy and parameters set out in the Information Memorandum but not that they are suitable for your particular financial circumstances and Portfolio(s); an obligation that would need to be discharged if the Fund Manager were to classify you as a 'retail client' under FCA Rules. This would require you to disclose to the Fund Manager personal details about your income, expenses, liquidity and assets.

Please note that the fact that a Financial Intermediary will treat you as a 'retail client' under FCA Rules for the purposes of his or her client relationship with you, does not prevent that Financial Intermediary from carrying out an assessment that you have the experience, expertise and knowledge required to understand the risks of investing in the Fund and of being categorised as a Professional Investor for the purpose of your relationship with the Fund Manager.

You can be categorised as a Professional Investor if:

- (i) an FCA authorised person undertakes an adequate assessment of your expertise, experience and knowledge that gives reasonable assurance, in the light of the nature of the transactions or services envisaged, that you are capable of making your own investment decisions and understanding the risks involved;
- (ii) the Fund Manager has given you a clear written warning of the protections and investor compensation rights you may lose; and
- (iii) you have stated in writing, in a separate document from the Investor's Agreement, that you are aware of the consequences of losing such protections. Such a statement is contained within the Application Form.

By not being categorised as a retail client of the Fund Manager, you will lose the protections applicable exclusively to retail clients under the FCA Rules. Certain of the FCA Rules will automatically be limited or modified in their application to you. Certain of the FCA Rules will be capable of modification in their application to you in relation to any business carried out by the Fund Manager under the terms of the Information Memorandum.

The following protections will not apply to you as an Investor who has not been categorised as a 'retail client' of the Fund Manager:

- (i) the Fund Manager will not be obliged to warn you of the nature of any risks involved in any potential investments in the Fund. The key risks of investing in the Fund are set out in section 1 of the Information Memorandum.
- (ii) the Fund Manager will not be obliged to disclose the basis or amount of its charges for any services the Fund Manager provides to you or on your behalf or the amount of any other income that the Fund Manager may receive from third parties in connection with such services. The basis and amount of the Fund Manager's charges are set out in section 3.4 of the Information Memorandum and schedule 2 of the Investor's Agreement.
- (iii) the Fund Manager will not be obliged to set out any of the prescribed contents, disclosures or risk warnings needed for retail customers in prospectuses, marketing brochures and other non-real time financial promotions material, nor will the Fund Manager be subject to the restrictions that apply to a retail client in relation to unsolicited real time communications.
- (iv) the Fund Manager will not be required to give you the warnings required for retail clients in relation to material which may lead you to deal with or use overseas firms which are not regulated by the Financial Services and Markets Act 2000 nor will the Fund Manager have to satisfy itself that the overseas firm will deal with you in an honest and reliable way.

- (v) the Fund Manager will also not be required to comply with the FCA Rules relating to restrictions on and the content of direct offer advertisements. The following rules will be limited or modified in their application to you as a Professional Investor and an investor in the Fund.
- (vi) the majority of the FCA Rules in relation to the form and content of financial promotions will not be applicable in respect of any financial promotion communicated or approved by the Fund Manager.
- (vii) the Fund Manager will not be required by the FCA to provide you with a periodic statement on the value and composition of your Portfolio in the Fund where you have requested the Fund Manager not to do so or where the Fund Manager has taken reasonable steps to establish that you do not want it. We would however draw your attention to clause 8 of the Investor's Agreement, pursuant to which, in particular you will be provided with contract notes for each Investment transaction by the Fund Manager and the Fund Manager will send you a report relating to the Fund, complying with the FCA rules, every six months. A quarterly report will also be provided. Reports will include a measure of performance in the later stages of the Fund once valuations are available for the investments. Investments will be valued by the Fund Manager in accordance with appropriate IPEVC Guidelines from time to time prevailing.
- (viii) the Fund Manager will comply with FCA Conduct of Business rule 11.2 and, to the extent applicable, take all reasonable steps to obtain, when making Investments, the best possible result for you taking into account the following execution factors: price, costs, speed, likelihood of execution and settlement, size, nature or any other consideration relevant to making investments. In doing this, the Fund Manager will take into account the following criteria for determining the relative importance of these execution factors: your categorisation as a Professional Investor and not as a 'retail client' of the Fund Manager; the characteristics and investment strategy of the Fund as described in the Information Memorandum; the rules of the SEIS and EIS; and the normal commercial practice of the counterparties and strategic partners with which the Investee Companies will do business. In particular, factors such as the suitability, expertise and market position of counterparties and strategic partners may be more important than price in obtaining the best possible execution result in the context of achieving the investment objective.

If you are reclassified as a retail client for the purposes of the regulated activities carried out by the Fund Manager (or Jenson in accordance with clause 2.7 of the Investor's Agreement) in connection with the Fund, the Fund Manager shall be entitled to terminate the Investor's Agreement pursuant to Clause 15.3.

If you have a complaint regarding the services provided under the Investor's Agreement you may raise the complaint with your Financial Intermediary or directly with the Fund Manager by writing to the address shown in the

Information Memorandum and the Fund Manager shall endeavour to resolve the complaint speedily and efficiently and will reply to you in writing. As a Professional Investor and as a person who has not been treated as a 'retail client' of the Fund Manager, you may lose the right to access the financial ombudsman service.

The Fund Manager may have regard to your expertise when complying with the requirements under the regulatory system that communications must be clear, fair and not misleading.

Under FCA rules you are responsible for keeping the Fund Manager informed of any change, which may affect your categorisation as a Professional Investor.

Jenson SEIS & EIS Fund c/o Jenson Funding Partners LLP  
4 Old Park Lane  
Mayfair  
London  
W1K 1QW

T 020 7788 7539  
F 020 7183 7908  
E [seis@jensonseedeis.com](mailto:seis@jensonseedeis.com)  
[www.jensonfundingpartners.com](http://www.jensonfundingpartners.com)